# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

| In re:                         |         |                         |
|--------------------------------|---------|-------------------------|
|                                |         | Chapter 11              |
| Lehman Brothers Holdings Inc., |         | Case No. 08-13555 (JMP) |
|                                | Debtor. | (Jointly Administered)  |

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: YEOH POOI SENG N.V.

Claim No.: 55137

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 38/F., Cheung Kong Center, 2 Queen's Road

Amount of Claim: 65000.00

Date Claim Filed: 29 October 2009

Telephone: (852) 2700-5573

Central, HONG KONG

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Date: 30 November 2011
On behalf of The Royal Bank of Scotland N.V.

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

YEOH POOI SENG ("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 55137 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

YEOH POOI SENG

| By:    |                                     |
|--------|-------------------------------------|
| Name:  | <del>-</del>                        |
| Title: |                                     |
|        |                                     |
| THE R  | OYAL BANK OF SCOTLAND N.V.          |
|        |                                     |
| D.     | S DA                                |
| Ву:    |                                     |
| Name:  | David Campbell                      |
| Title: | Head of Tail Management, Asia       |
|        | Retail and Commercial Markets, Asia |

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re: Chapter 11 Lehman Brothers Holdings Inc., Case No. 08-13555 (JMP) (Jointly Administered)

Debtor.

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland

N.V.

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

38/F., Cheung Kong Center, 2 Queen's Road

Central, HONG KONG

Name of Transferor: Lam Kit Wan

Claim No.: 43809

Amount of Claim: 64181.56

Date Claim Filed: 22 October 2009

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Date: 30 November 2011 He Royal Bank of Scotland N.V.

## TO: THE DEBTORS AND THE BANKRUPTCY COURT

Lam Kit Wan("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 43809 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

Low Vit Won

| Lain Kit wan                         |
|--------------------------------------|
| Ву:                                  |
| Name:                                |
| Γitle:                               |
| THE ROYAL BANK OF SCOTLAND N.V.      |
| By:                                  |
| Name: David Campbell                 |
| Fitle: Head of Tail Management, Asia |
| Retail and Commercial Markets, Asia  |

| UNITED | <b>STATES</b> | BANKRU  | <b>PTCY</b> | COURT |
|--------|---------------|---------|-------------|-------|
| SOUTHE | ERN DIST      | RICT OF | 'NEW'       | YORK  |

In re:

Chapter 11

Case No. 08-13555 (JMP)

(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Luo Bin

N.V.

Claim No.: 45246

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

Amount of Claim: 161300.00

38/F., Cheung Kong Center, 2 Queen's Road

Date Claim Filed: 23 October 2009

Central, HONG KONG

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Date: 30 November 2011
On behalf of The Royal Bank of Scotland N.V.

## TO: THE DEBTORS AND THE BANKRUPTCY COURT

Luo Bin("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45246 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

Tuo Din

| Luo Biii                             |
|--------------------------------------|
| Ву:                                  |
| Name:                                |
| Title:                               |
| THE ROYAL BANK OF SCOTLAND N.V.      |
| ву:                                  |
| Name: David Campbell                 |
| Title: Head of Tail Management, Asia |
| Retail and Commercial Markets, Asia  |

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.,

Case No. 08-13555 (JMP)

(Jointly Administered)

Chapter 11

Debtor.

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland

Name of Transferor: Khan Chiang Hanifa

Wan Chun

N.V.

Claim No.: 45276

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 38/F., Cheung Kong Center, 2 Queen's Road

Amount of Claim: 385089.34

Central, HONG KONG

Date Claim Filed: 23 October 2009

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

On behalf of The Royal Bank of Scotland N.V.

Date: 30 November 2011

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Khan Chiang Hanifa Wan Chun("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45276 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

By: \_\_\_\_\_\_Name:
Title:

THE ROYAL BANK OF SCOTLAND N.V.

By: \_\_\_\_\_\_Name: David Campbell

Khan Chiang Hanifa Wan Chun

Title: Head of Tail Management, Asia Retail and Commercial Markets, Asia

| UNITED | <b>STATES</b> | BANKI  | RUPTCY | COURT |
|--------|---------------|--------|--------|-------|
| SOUTHE | RN DIST       | RICT C | FNEW   | YORK  |

| In re:                         |         |                         |
|--------------------------------|---------|-------------------------|
|                                |         | Chapter 11              |
| Lehman Brothers Holdings Inc., |         | Case No. 08-13555 (JMP) |
|                                | Debtor. | (Jointly Administered)  |

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Leung On Kei Angel N.V.

Name and Address where notices to transferee

Amount of Claim No.: 45795

should be sent: The Royal Bank of Scotland N.V.

38/F., Cheung Kong Center, 2 Oueen's Road

Amount of Claim: 25672.62

Date Claim Filed: 26 October 2009

Central, HONG KONG

Telephone: (852) 2700-5573 Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Date: 30 November 2011
On behalf of the Royal Bank of Scotland N.V.

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Leung On Kei Angel("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45795 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this  $30\,\mathrm{day}$  of November 2011.

Leung On Kei Angel

| UNITED | STATES   | BANKRU   | JPTCY | COURT |
|--------|----------|----------|-------|-------|
| SOUTHE | ERN DIST | TRICT OF | 'NEW' | YORK  |

In re:

Chapter 11

Case No. 08-13555 (JMP)

(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Lam Kit Wan

N.V.

Claim No.: 45799

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

Amount of Claim: 30000.00

38/F., Cheung Kong Center, 2 Queen's Road

Date Claim Filed: 26 October 2009

Central, HONG KONG

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_\_ Date: \_\_\_\_\_\_ 30 November 2011 \_\_\_\_\_\_ On behalf of The Royal Bank of Scotland N.V.

# TO: THE DEBTORS AND THE BANKRUPTCY COURT

Lam Kit Wan("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45799 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

Lam Kit Wan

| By:                                  |
|--------------------------------------|
| Name:                                |
| Title:                               |
| THE ROYAL BANK OF SCOTLAND N.V.      |
| Ву:                                  |
| Name: David Campbell                 |
| Title: Head of Tail Management, Asia |
| Retail and Commercial Markets Asia   |

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Lehman Brothers Holdings Inc.,

Chapter 11

Case No. 08-13555 (JMP)

(Jointly Administered)

Debtor.

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland

u Name o

Name of Transferor: Lee Wing Han Brenda

N.V.

Claim No.: 45802

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V.

38/F., Cheung Kong Center, 2 Queen's Road

Central, HONG KONG

Amount of Claim: 65465.19

Date Claim Filed: 26 October 2009

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: <u>30 November 2011</u>
On behalf of The Royal Bank of Scotland N.V.

#### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Lee Wing Han Brenda("Transferor") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("Transferee") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("LBHI") and its affiliates (the "Debtors"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court"), as set forth in the proof of claim No. 45802 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

> By: Name: Title: THE ROYAL BANK OF SCOTLAND N.V. By: Name: David Campbell

Lee Wing Han Brenda

Title: Head of Tail Management, Asia

Retail and Commercial Markets, Asia

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

In re:

Chapter 11

Lehman Brothers Holdings Inc.,

Case No. 08-13555 (JMP)

(Jointly Administered)

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Li Ming Qiang

N.V.

Claim No.: 45846

Name and Address where notices to transferee should be sent: The Royal Bank of Scotland N.V. 38/F., Cheung Kong Center, 2 Queen's Road

Amount of Claim: 25672.62

Central, HONG KONG

Date Claim Filed: 26 October 2009

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_ Date: \_\_\_\_\_ 30 November 2011 \_\_\_\_\_\_ On behalf of The Royal Bank of Scotland N.V.

On behalf of the Royal Bank of Scotland N.V.

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Li Ming Qiang("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45846 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

# UNITED STATES BANKRUPTCY COURT SOUTHERN DISTRICT OF NEW YORK

| In re:                         |         |                         |
|--------------------------------|---------|-------------------------|
|                                |         | Chapter 11              |
| Lehman Brothers Holdings Inc., |         | Case No. 08-13555 (JMP) |
|                                | Debtor. | (Jointly Administered)  |

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Date Claim Filed: 26 October 2009

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Ng Lan Yuk N.V.

Claim No.: 45841

Name and Address where notices to transferee Amount of Claim: 60000.00 should be sent: The Royal Bank of Scotland N.V. 38/F., Cheung Kong Center, 2 Queen's Road

Central, HONG KONG

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

Date: 30 November 2011 On behalf of The Royal Bank of Scotland N.V.

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Ng Lan Yuk("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45841 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this 30 day of November 2011.

| Ng Lan Yuk                   |      |
|------------------------------|------|
| By:<br>Name:<br>Title:       |      |
| THE ROYAL BANK OF SCOTLAND N | 1.V. |

Bv:

Name: David Campbell

Title: Head of Tail Management, Asia Retail and Commercial Markets, Asia

| UNITED | <b>STATES</b> | <b>BANKR</b> | <b>UPTCY</b> | COURT |
|--------|---------------|--------------|--------------|-------|
| SOUTHE | RN DIST       | RICT O       | <b>F NEW</b> | YORK  |

| In re:                         |         |                         |
|--------------------------------|---------|-------------------------|
|                                |         | Chapter 11              |
| Lehman Brothers Holdings Inc., |         | Case No. 08-13555 (JMP) |
|                                | Debtor. | (Jointly Administered)  |

# NOTICE OF TRANSFER OF CLAIM OTHER THAN FOR SECURITY PURSUANT TO RULE 3001(e)(2)

A CLAIM HAS BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. § 1111(a). Transferee hereby gives evidence and notice pursuant to Rule 3001 (e)(2), Fed. R. Bankr. P., of the transfer, other than for security, of the claim referenced in this notice and attached evidence.

Name of Transferee: The Royal Bank of Scotland Name of Transferor: Li Ming Qiang N.V.

Name and Address where notices to transferee

should be sent: The Royal Bank of Scotland N.V.

38/F., Cheung Kong Center, 2 Queen's Road

Amount of Claim: 20000.00

Date Claim Filed: 26 October 2009

Central, HONG KONG

G KONG

Telephone: (852) 2700-5573

Attention: Client Engagement Team

Evidence of Transfer of Claim is attached as Exhibit A.

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: \_\_\_\_\_\_ Date: \_\_\_\_\_ 30 November 2011 \_\_\_\_\_\_ On behalf of The Royal Bank of Scotland N.V.

### TO: THE DEBTORS AND THE BANKRUPTCY COURT

Li Ming Qiang("<u>Transferor</u>") has unconditionally and irrevocably transferred and assigned to THE ROYAL BANK OF SCOTLAND N.V. ("<u>Transferee</u>") 100% of its right, title, interest, claims and causes of action in and to, or arising under or in connection with, its claim (as such term is defined in Section 101(5) of the U.S. Bankruptcy Code) against Lehman Brothers Holdings Inc. ("<u>LBHI</u>") and its affiliates (the "<u>Debtors</u>"), the debtor in Case No. 08-13555 (JMP) pending in the United States Bankruptcy Court for the Southern District of New York (the "<u>Bankruptcy Court</u>"), as set forth in the proof of claim No. 45867 filed by Transferor with the Bankruptcy Court in respect of the foregoing claim.

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court, and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor transferring to Transferee the foregoing claim, recognizing Transferee as the sole owner and holder of the claim, and directing that all payments or distributions of money or property in respect of the claim be delivered or made to Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM is executed this  $30\ day$  of November 2011.

Li Ming Qiang

| By:                                  |
|--------------------------------------|
| Name:                                |
| Title:                               |
| THE ROYAL BANK OF SCOTLAND N.V       |
| By:                                  |
| Name: David Campbell                 |
| Title: Head of Tail Management, Asia |

Retail and Commercial Markets, Asia



### Retail and Commercial Markets, As

38/F, Cheung Kong Center 2 Queen's Road Central Hong Kong

Telephone: +852 2700 3000 Facsimile: +852 2700 5000

www.rbs.com

2 December 2011

Lehman Brothers Holdings Claims Processing Center c/o Epiq Bankruptcy Solutions, LLC FDR Station, P.O. Box 5076 New York, NY 10150-5076

In Re: Lehman Brothers Holdings Inc., et al., **Debtors** Chapter 11 Case No. 08-13555 (JMP) (Jointly Administered)

Dear Sir,

We are writing to request for the change of ownership in the Lehman Brother ELNs where RBS have made settlements with these 91 claims.

Attached please find the related documents (91 sets) of Notice of Transfer of Claim and Evidence of Transfer of Claim for your reference.

Should you have any queries regarding to the, please feel free to contact us at HK.CustomerResponse@rbs.com or contact our telephone hotline at (852) 2700-5573.

Thank you for your attention in this matter.

Yours faithfully,

Head of Tail Management, Asia

Retail and Commercial Markets, Asia

The Royal Bank of Scotland N.V.

